

## High admission prevalence of fluoroquinolone resistance in third-generation cephalosporin-resistant Enterobacteriaceae in German university hospitals

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**Objectives:** Fluoroquinolone resistance (FQR) in third-generation cephalosporin-resistant Enterobacteriaceae (3GCRE) presents serious limitations to antibiotic therapy. The aim of this study was to investigate whether the FQR proportion among 3GCRE differs between community-acquired (CA) and hospital-acquired (HA) isolates.

**Methods:** In a prospective observational study covering 2014 and 2015, we monitored the occurrence of 3GCRE in adult hospitalized patients in six German university hospitals. 3GCRE clinical isolates were subdivided into CA and HA. Multivariable analysis identified factors associated with *in vitro* non-susceptibility to ciprofloxacin.

**Results:** The dataset included 5721 3GCRE isolates of which 52.9% were HA and 52.7% exhibited FQR. Interestingly, the FQR proportion was higher in CA 3GCRE than in HA 3GCRE (overall, 60.1% versus 46.2%, respectively,  $P < 0.001$ ). Multivariable analysis adjusting for age confirmed community acquisition as a risk factor for FQR [adjusted rate ratio (aRR) 1.33, 95% CI 1.17–1.53]. *Escherichia coli* and *Klebsiella* spp. were associated with a much higher FQR proportion than other Enterobacteriaceae species (aRR 8.14, 95% CI 6.86–9.65 and aRR 7.62 with 95% CI 6.74–8.61, respectively).

**Conclusions:** The high FQR proportion observed among CA 3GCRE, particularly in *E. coli* and *Klebsiella* spp., indicates that selection pressure in the outpatient setting needs to be addressed with antibiotic stewardship and other interventions in order to limit further spread of MDR.

## Introduction

Due to delays in effective therapy, infections with MDR bacteria lead to longer hospital stays and are associated with worsened patient outcomes. Thus, antibiotic resistance is not only a growing healthcare problem, it is also a burden on the economic system.<sup>1,2</sup> Of great concern is the emergence of ESBL-producing Enterobacteriaceae because ESBL mediates resistance to penicillin and cephalosporins including ceftriaxone and most other third-generation cephalosporins (3GC).<sup>3</sup> These 3GC-resistant (3GCR) Enterobacteriaceae (3GCRE) are often also resistant to fluoroquinolones (and aminoglycosides). This combined resistance phenotype represents a major limitation in therapeutic options, making carbapenems the drugs of choice in many cases. In Europe, 4.3% of the *Escherichia coli* isolates detected in blood or cerebrospinal fluid showed this MDR pattern.<sup>4</sup> In Germany the proportion appears to be higher: 5.4% of the *E. coli* and 7.1% of the

*Klebsiella pneumoniae* isolates from ambulatory care and 8.9% of the *E. coli* and 10.2% of the *K. pneumoniae* isolates from the hospital care sector exhibited this pattern.<sup>5</sup>

The goal of the present study was to investigate potential differences in fluoroquinolone resistance (FQR) between hospital-acquired (HA) and community-acquired (CA) 3GCRE.

## Methods

### Study design and data sources

This study is a secondary analysis of a prospective observational study in the ATHOS (Antibiotic Therapy Optimization Study) project. Hospitalized patients were monitored for the first 3GCRE occurrence in six German university hospitals in 2014 and 2015. Adult patients admitted to acute care services other than psychiatry, dermatology, otorhinolaryngology, ophthalmology, paediatrics and gynaecology were included.

## Microbiological analysis and definitions

Standard laboratory procedures were used for species identification [MALDI-TOF MS or Vitek 2 GN ID card (bioMérieux)] and *in vitro* susceptibility testing [Vitek 2 (bioMérieux)]. Piperacillin, cefotaxime, ceftazidime, ciprofloxacin, imipenem and meropenem MICs were interpreted according to EUCAST breakpoints.<sup>6</sup> Isolates with non-susceptibility to ciprofloxacin were counted as FQR. Isolates detected in specimens taken on days 1–3 (admission day = day 1) were considered to be CA, whereas those detected from day 4 onwards were considered to be HA.<sup>7</sup> Isolates detected in specimens that suggest an impact on patient health, like wound swabs, blood, urine etc., were considered clinically relevant. Infections were defined as detection in clinically relevant specimens with signs of clinical infection and therapeutic intervention.

## Statistical analysis

FQR distribution was tested with the  $\chi^2$  test. The FQR proportion was calculated as the number of Enterobacteriaceae with 3GCR and FQR divided by all

3GCRE and was stratified for covariates. The FQR adjusted rate ratios (aRRs) with 95% CIs were calculated with generalized linear logistic regression with a general estimate equation model. The covariates were remodelled as binary variables. The hospital variable was included in all models to account for cluster effects. Only variables with  $P < 0.2$  in the Type 3 test in univariable analysis were included in the multivariable model. Stepwise backward variable selection based on the Type 3 test was then used. In a second multivariable model, stepwise forward variable selection based on the Quasilikelihood under the Independence model Criterion (QIC) was employed. For epidemiological reasons, age and gender were included in both multivariable models.  $P$  values  $<0.05$  were considered significant. The statistical analysis was performed with SAS 9.4 (SAS Institute, Cary, NC, USA).

## Ethics and data protection

Surveillance was performed in accordance with the German Infection Protection Act.<sup>8</sup> The data were entered into an online accessible database

**Table 1.** Descriptive statistics of 5721 3GCRE observations, divided into '3GCR only' Enterobacteriaceae and those with additional FQR ('3GCR + FQR')

Parameter	Category	3GCR only, n	3GCR + FQR, n	Total, n	FQR (%)	P
Total		2704	3017	5721	52.7	
Age (years)	<46	302	398	700	56.9	0.032
	46–55	329	359	688	52.2	
	56–65	537	582	1119	52.0	
	66–75	706	838	1544	54.3	
	>75	830	840	1670	50.3	
Gender	male	1479	1666	3145	53.0	0.691
	female	1225	1351	2576	52.5	
Hospital	centre 1	383	917	1300	70.5	<0.001
	centre 2	191	195	386	50.5	
	centre 3	334	553	887	62.3	
	centre 4	702	651	1353	48.1	
	centre 5	830	413	1243	33.2	
	centre 6	264	288	552	52.2	
Species	<i>E. coli</i>	726	1897	2623	72.3	<0.001
	<i>Klebsiella</i> spp.	253	653	906	72.1	
	<i>Enterobacter</i> spp.	1006	274	1280	21.4	
	<i>Citrobacter</i> spp.	331	84	415	20.2	
	other Enterobacteriaceae <sup>a</sup>	388	109	497	21.9	
Specimen	urine	947	1343	2290	58.7	<0.001
	TBS	519	265	784	33.8	
	wound swab	379	312	691	45.2	
	blood culture	149	233	382	61.0	
	stool	55	43	98	43.9	
	other specimens <sup>b</sup>	655	821	1476	55.6	
Infection status	colonization	1143	1227	2370	51.8	0.220
	infection	1561	1790	3351	53.4	
Acquisition	CA	1076	1619	2695	60.1	<0.001
	HA	1628	1398	3026	46.2	
Ward category	general ward	1670	2074	3744	55.4	<0.001
	ICU	934	782	1716	45.6	
	intermediate care	100	161	261	61.7	
Service type	non-surgical	1438	1701	3139	54.2	0.036
	surgical	1063	1121	2184	51.3	
	haematology/oncology	203	195	398	49.0	

<sup>a</sup>Other Enterobacteriaceae' includes *Proteus*, *Serratia*, *Hafnia*, *Morganella*, *Providencia*, *Raoultella*, *Pantoea* and *Cedecea* species.

<sup>b</sup>'Other specimens' includes cerebrospinal fluid, punctate, sputum, 'unknown' and 'other'. TBS, tracheobronchial secretion.

whose data protection concept was approved by the data protection commissioner.

## Results

The study included 578 420 admissions over the 2-year period and yielded 5721 clinically relevant 3GCRE isolates. Of these, 3145 (55.0%) were isolated from male patients, 2623 (45.8%) were *E. coli*, 906 (15.8%) were *Klebsiella* spp. and approximately half of all isolates were HA 3GCRE (3026; 52.9%). The overall FQR proportion among 3GCRE was 52.7% ( $n = 3017$ ) (Table 1), ranging between 33.2% and 70.5% from the participating hospitals. CA 3GCRE had a significantly higher proportion of FQR than HA 3GCRE (60.1% versus 46.2%, respectively).

Stepwise backward and stepwise forward variable selection yielded the same multivariable model that included age, gender, *E. coli*, *Klebsiella* spp. and community acquisition as independent factors for FQR detection in 3GCRE (Table 2). CA 3GCRE showed a higher risk of FQR than HA 3GCRE (CA: aRR 1.33, 95% CI 1.17–1.53). *E. coli* and *Klebsiella* spp. showed a very high and significant risk for FQR (aRR 8.14 and 7.62, respectively) compared with other Enterobacteriaceae species. Being female was found to be protective (aRR 0.77, 95% CI 0.72–0.83) and age was not associated with FQR. The infection status (infection versus colonization), ward category and service type were not associated with FQR in the multivariable model.

## Discussion

The somewhat surprising higher FQR proportion in CA 3GCRE was observed among *E. coli*, *Klebsiella* spp. and other Enterobacteriaceae. We think the reasons for these findings are manifold. The data essentially indicate a substantial selection pressure from fluoroquinolones outside the hospital coming from antibiotic consumption in patients and probably in their environment and in food production.

**Table 2.** Results of multivariable logistic regression for the outcome 3GCR + FQR

Parameter	Category	FQR aRR	95% CI	P
Intercept		0.29	0.22–0.38	<0.001
Age (years)	<46	1.09	0.83–1.42	0.529
	46–55	1.03	0.79–1.33	0.849
	56–65	1.00	0.85–1.19	0.971
	66–75	1.12	0.95–1.32	0.185
	>75	1 = ref.		
Gender	female	0.77	0.72–0.83	<0.001
	male	1 = ref.		
<i>E. coli</i>	1: yes	8.14	6.86–9.65	<0.001
	0: no	1 = ref.		
<i>Klebsiella</i> spp.	1: yes	7.62	6.74–8.61	<0.001
	0: no	1 = ref.		
Acquisition	CA	1.33	1.17–1.53	<0.001
	HA	1 = ref.		

ref., reference.

Patients with isolation of a 3GCRE strain on admission or within two days after the admission day likely represent a group with relevant and perhaps repeated exposures to antibiotics because of infection risks associated with underlying diseases. We excluded MDR screening specimens but clinically relevant cultures may have been ordered intensely in this period. Outpatient management of these patients may have often included oral antibiotics with enhanced coverage for Gram-negative bacteria, and in many cases these drugs will be broad-spectrum cephalosporins or fluoroquinolones rather than amoxicillin or trimethoprim/sulfamethoxazole. Fluoroquinolone use in the month prior to hospital admission was found to be associated with detection of FQR *E. coli* in the first three days of hospital stay.<sup>9</sup> Unfortunately, our dataset does not include patient-based antibiotic consumption data. Interestingly, surveillance data on outpatient antibiotic consumption show enhanced use of oral cephalosporins in Germany compared with other European countries. Fluoroquinolone consumption is comparable with the population-weighted EU mean.<sup>10</sup> However, more fluoroquinolones are prescribed in ambulatory compared with hospital care (total of 21.2 versus 9.3 million DDDs, respectively).<sup>11–13</sup> A similar relationship was found in England.<sup>14</sup> Excessive fluoroquinolone use in ambulatory care may explain the higher FQR proportion observed in CA 3GCRE. *E. coli* and *Klebsiella* spp. were found to have an increased risk of being FQR. In infections with both species, in addition to fluoroquinolone use, aminoglycoside use was shown to be an independent risk factor for FQR occurrence in 3GCRE.<sup>15</sup> Such co-selection processes would not be expected to be relevant in newly admitted patients and are less likely to explain the high FQR proportion in our dataset.

This study is the first to compare FQR in CA and HA 3GCRE. Limitations may be the definition of community acquisition including two days after the admission day, which may have been too broad, and ignoring previous admissions, outpatient treatments or travel history. Also, we did not include cephalosporin-susceptible Enterobacteriaceae and therefore cannot distinguish whether the increased FQR proportion among CA 3GCRE is specific for 3GCRE or a general phenomenon. Moreover, the database included only initial detections of 3GCRE. Missing follow-up data may lead to an underestimation of HA 3GCRE with and without FQR. In addition, frequent carbapenem use in hospital patients for suspected MDR pathogens may suppress or even eliminate colonizing MDR Gram-negative bacteria which may result in fewer detections of FQR 3GCRE. As the microbiological data of the isolates were generated by hospital routine diagnostics, 3GCR mechanism data are not available. From a parallel admission prevalence study we know that the majority of 3GCR in the endogenous bacteria of our patients was caused by ESBL (67% CTX-M1 group and 17% CTX-M9 group) and about 10% was caused by AmpC genotypes.<sup>16</sup>

We still consider the finding that community acquisition rather than hospital acquisition of 3GCRE is associated with FQR significant and important. It confirms the need for more data from and antibiotic stewardship activities in the outpatient setting. General practitioner-based surveillance modules informing them about their antibiotic prescription behaviour and dedicated antibiotic stewardship (ABS) programmes may increase adherence to prescription guidelines.<sup>17</sup> Voluntary (group) education for practitioners has been shown to reduce inappropriate antibiotic prescriptions.<sup>18</sup> In addition, non-prescribed use of antibiotics is of concern. In representative surveys, about 5%–8% of the German

participants reported antibiotic usage that had not been prescribed (EU mean, 7%).<sup>19,20</sup> Educating the population on the development of antibiotic resistance, restricting use to prescription antibiotics worldwide and removing antibiotics from online pharmacy portfolios may sustain their therapeutic effectiveness.

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## Transparency declarations

None to declare.

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